

RCE/3732
A

PTO/SB/30 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Request
For
Continued Examination (RCE)
Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/643,294
Filing Date	08/22/2000
First Named Inventor	K.P. Pathak
Art Unit	3732
Examiner Name	Eduardo C. Robert
Attorney Docket Number	16693-2

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
ii. Other _____

b. Enclosed **Statement of Inventor**

i. Amendment/Reply iii. Information Disclosure Statement (IDS)
ii. Affidavit(s)/ Declaration(s) iv. Other Request for Extension of Time

2. **Miscellaneous**

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a

a. period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
b. Other _____

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to
a. Deposit Account No. 23-3030

i. RCE fee required under 37 CFR 1.17(e) iii. 04/13/2004 SZEWDIE1 00000020 09643294
ii. Extension of time fee (37 CFR 1.136 and 1.17) iv. 01 FC:2801 385.00 00
iii. Other _____

b. Check in the amount of \$ 440.00 enclosed
c. Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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APR 16 2004

TECHNOLOGY CENTER 3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Clifford W. Browning	Registration No. (Attorney/Agent)	32,201
Signature	<u>Clifford W. Browning</u>		

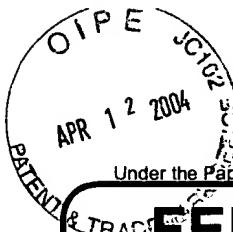
CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Clifford W. Browning	Date	April 5, 2004
Signature	<u>Clifford W. Browning</u>		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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FEET TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** 440

Complete if Known

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First Named Inventor	K.P. Pathak
Examiner Name	Eduardo C. Robert
Art Unit	3732
Attorney Docket No.	16693-2

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
23-3030
Deposit Account Name
Woodard Emhardt

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$) 0	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	<input type="text"/> X <input type="text"/> = <input type="text"/>	
Multiple Dependent	- 3** =	<input type="text"/> X <input type="text"/> = <input type="text"/>	
		<input type="text"/> = <input type="text"/>	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$) 0

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	55
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	385
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** 440

(Complete if applicable)

Name (Print/Type)	Clifford W. Browning	Registration No. (Attorney/Agent)	32,201	Telephone	317-634-3456
Signature	<i>Clifford W. Browning</i>			Date	April 5, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:)	Before the Examiner
)	Eduardo C. Robert
Kartikeya P. Pathak)	Group Art Unit 3732
Serial No. 09/643,294)	
Filed August 22, 2000)	
RENEW COMPRESSION SCREW)	April 5, 2004

**STATEMENT OF INVENTOR IN SUPPORT
OF SUBSTITUTE SPECIFICATION**

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TECHNOLOGY CENTER 3700

The new disclosure, as supported by the original disclosure.

New disclosure:

Page 2, lines 9 to 13, "This relates.... Outside construct"

Old disclosure:

Page 17, lines 1 to 6, "if it is used.... part in an outside construct."

New disclosure:

Page 7, lines 20-21, "lag screw.... right angle to fracture plane".

Old disclosure:

Fig 5/6, upper two screws in lag mode; Fig 6/6, lowest two screws and 4th and 5th screws from below, across single fractures.

New disclosure:

Page 8, lines 15-16, FIG 4... bone surface."

Old disclosure:

Fig 2/6 B.

New disclosure;

Reference numbers have been given to parts of the drawings, which are in accordance of guidelines given by USPTO, general information.

Old disclosure:

Legends were written on drawings, without reference numbers. This needed amendment as required .

New disclosure:

Descriptions of drawings mention the reference numbers and characters, since these are

introduced into figures.

Old disclosure:

Though the drawings are essentially the same, reference characters are lacking.

New disclosure:

Fig 7 and fig 8 the details of the intercalated heads are drawn more clearly, as stated in text wherever heads are described, when related to basic implant or lag screw mode implant. This detail was thought essential.

Old disclosure:

Fig 5/6 and 6/6, the drawings are too diagrammatic, not showing details of the heads as described in text, or as shown in 2/6 B and 2/6 C. The detail was not thought to be essential. Attention was paid only to the overall information in the drawing and detail was taken for granted.

Pathak

(K.P.Pathak)

Place: Ahmedabad, India.

Date: March, 14, 2004